



NTJ – Economic Substance

Nominal Tax Jurisdiction Reporter

Registration Process



May 25, 2022



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Support:

Please direct all inquiries to eoigov.tc



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NTJ – Economic Substance

Overview

The Forum on Harmful Tax Practices (FHTP) agreed at its meeting on 15 – 19 October 2018 on the resumption of the application of the substantial activity factor to no or only nominal tax jurisdictions (hereafter the “Standard”, approved by the Inclusive Framework in November 2018).² The Standard requires no or only nominal tax jurisdictions to exchange information in specified situations.

<https://www.oecd.org/tax/beps/substantial-activities-in-no-or-only-nominal-tax-jurisdictions-guidance.pdf>

In January 2019, the OECD released Harmful Tax Practices - 2018 Progress Report on Preferential Regimes, approved by the OECD/G20 Inclusive Framework on BEPS. The Progress Report includes the results of the review of preferential tax regimes, which has been undertaken by the Forum on Harmful Tax Practices (FHTP) since the start of the BEPS Project in accordance with the BEPS Action 5 minimum standard.

<https://www.oecd.org/tax/beps/beps-actions/action5/>



Registration

General information on registration

Every Reporting Entity needs to be registered at the Economic Substance – NTJ Portal of the EOI Unit of Turks and Caicos Islands.

At the NTJ splash screen <http://ntj.gov.tc> you will find all information as:

- This Registration Guide
- A Registration sample video
- The link to NTJ Portal to register
- The link to NTJ Portal to access and start reporting

Register for Economic Substance/NTJ Reporting

Click on “Register for Economic Substance/NTJ Reporting”



Turks and Caicos NTJ Portal

I want to...

[Register for Economic Substance/NTJ Reporting](#)



It will take you to this page <https://ntj.gov.tc/ntj/registration?lang=en>

Where following information will be collected from the Reporting Entity and its Users.

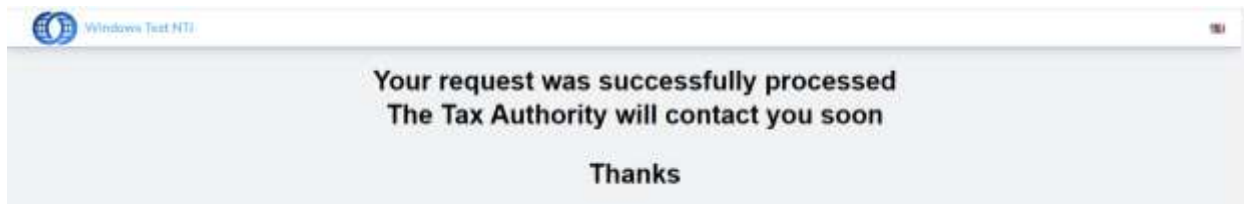
- Name of the Entity*
 - Street name and number*
 - City/Town*
 - State/province/region
 - Country code* (See Appendix for country codes)
 - Postal Code
 - TIN = Tax or registration number*
 - TIN Issued By = Country code*
 - Username = Email address of the User*
 - Password = please use minimum 8 characters and use alphanumeric and symbols for security*
 - Email Notification = Yes if you want to receive alerts from TCI*
 - + symbol = to add more users
 - Submit when ready*
- *Elements with the asterisk * are mandatory.*
 - *At least One User is mandatory.*



Institution Name Charity Company	Street Main Street 789	City/Town Columbus Town
State/Province/Region Trinidad	Country TC	Postal Code 7C1122
TIN or Registration No 112233	TIN Issued By TC	
Username (email) amoc@inc@tato.com	Password Min. 8 characters, using 1 capital, 1 letter and 1 symbol	Email Notification Yes No

[Submit](#)

After filling all information and you hit “Submit” your registration request will be submitted to the EOI Unit of TCI and you will see following message.




Once your account is approved and activated you will receive an email, like this sample below. Please watch your spam/junk folder as it is an automated system message.



User NTJ Notification



twelve@crsfatca.one

To  emeijer@crsfatca.com

Hi emeijer@crsfatca.com,

Nominal Tax Jurisdiction Reporter account has been activated . Please login and change your password.

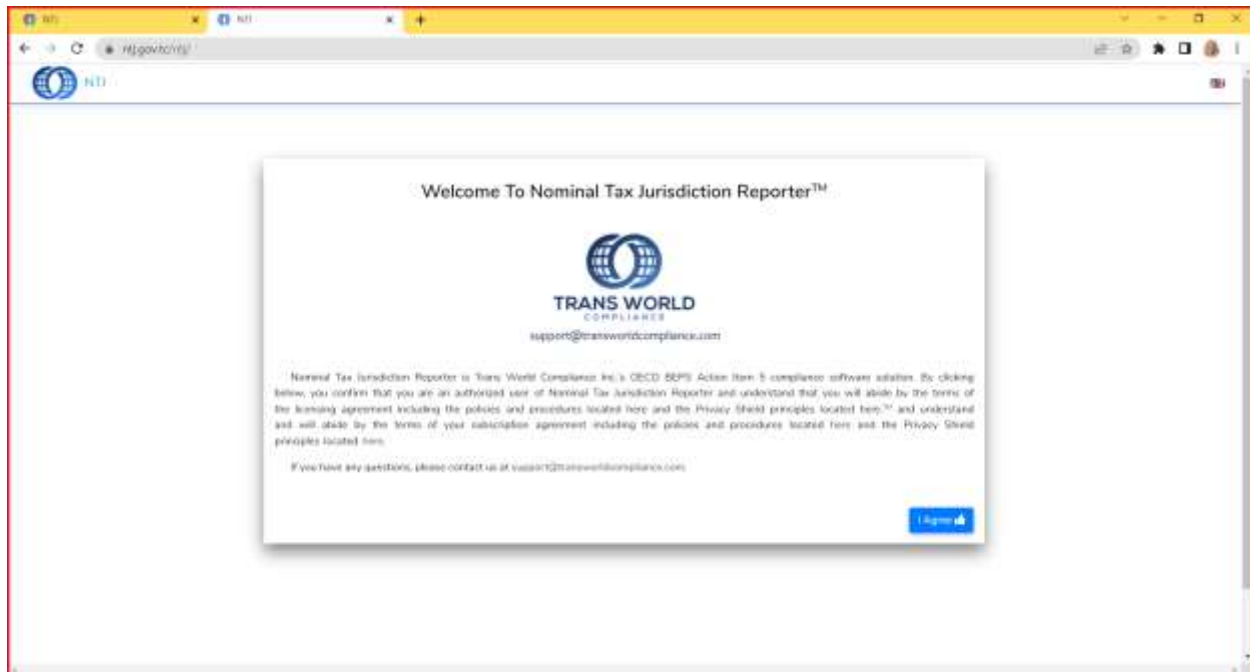
Best Regards

Now you can start logging in.

**This registration process is only once. For subsequent years you can continue using the same account credentials.*

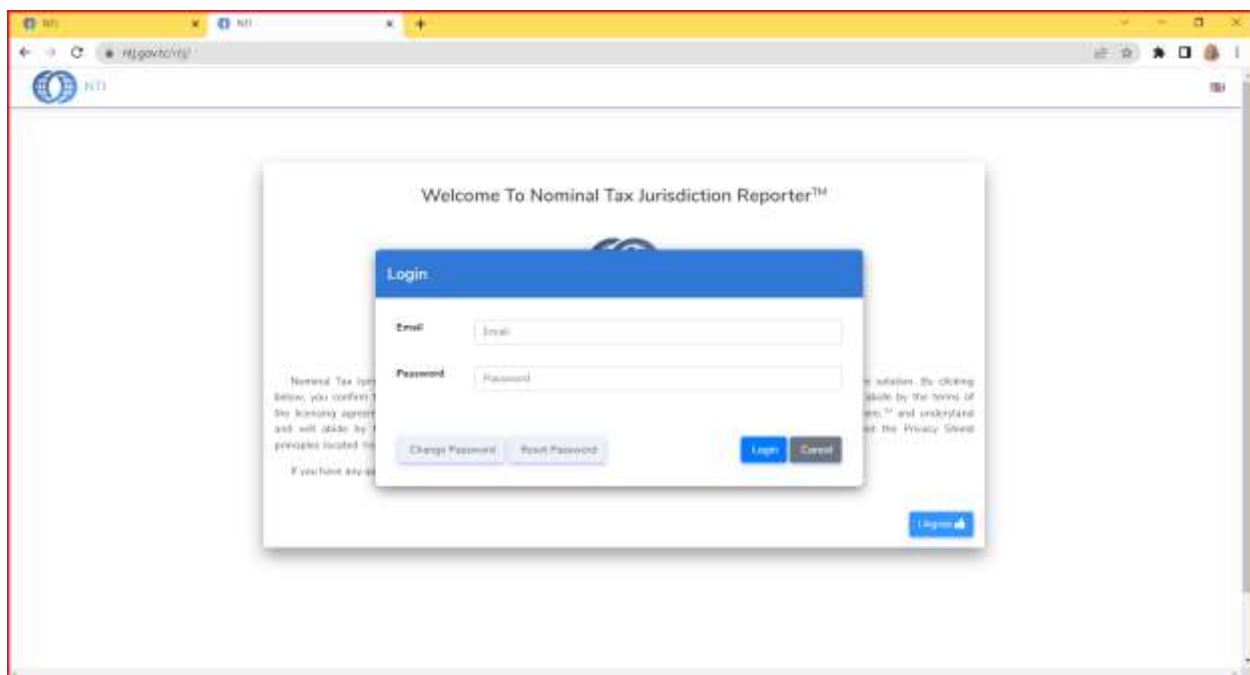


Logging In



After a successful registration you can login at the EOI Unit of Turks and Caicos Island' NTJ Portal <https://ntj.gov.tc/ntj/>

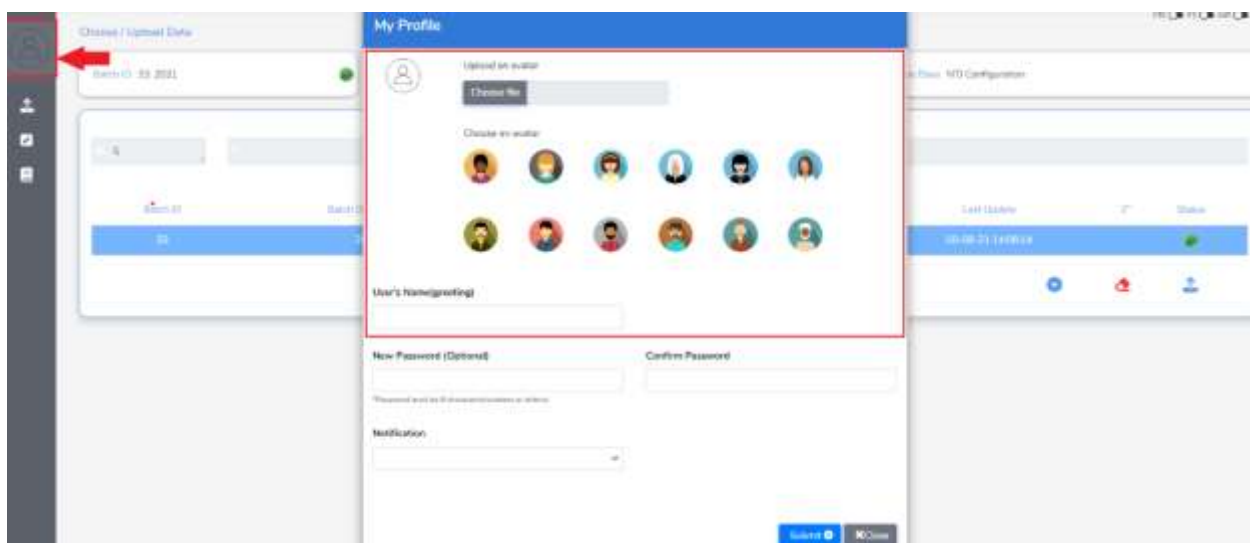
Click on I Agree.



The user's login will be your email address and your Nominal Tax Jurisdiction Reporter™ password. To reset your password, type in your email and click “Reset Password” to have a new password emailed to the user.

Changing personal info

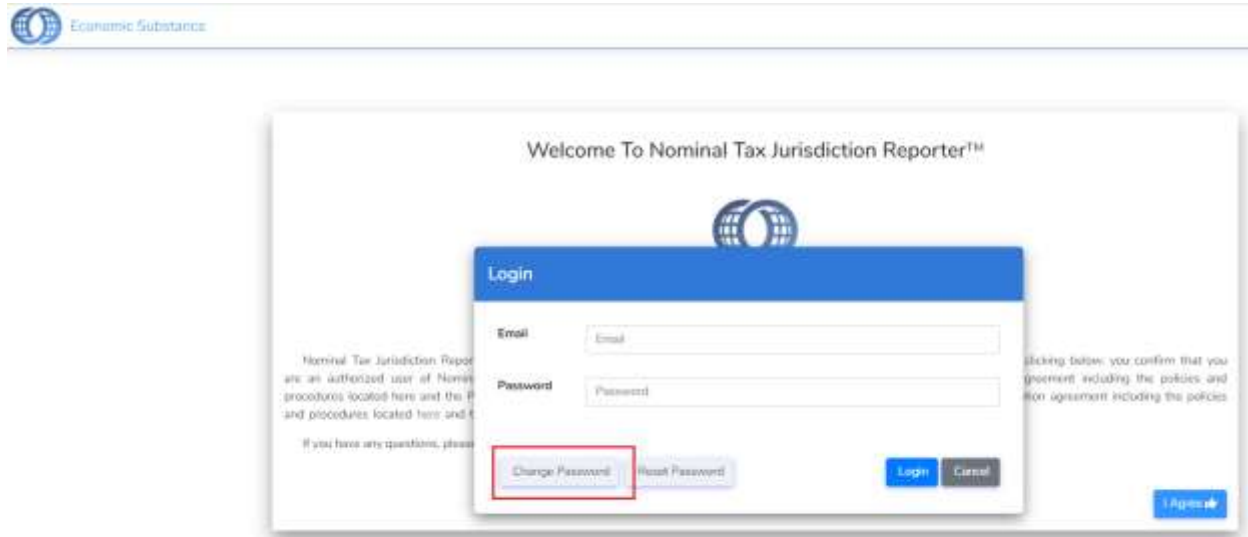
You can change your name, upload a picture or add an avatar, once logged in, by double clicking on the user information in the top left corner.



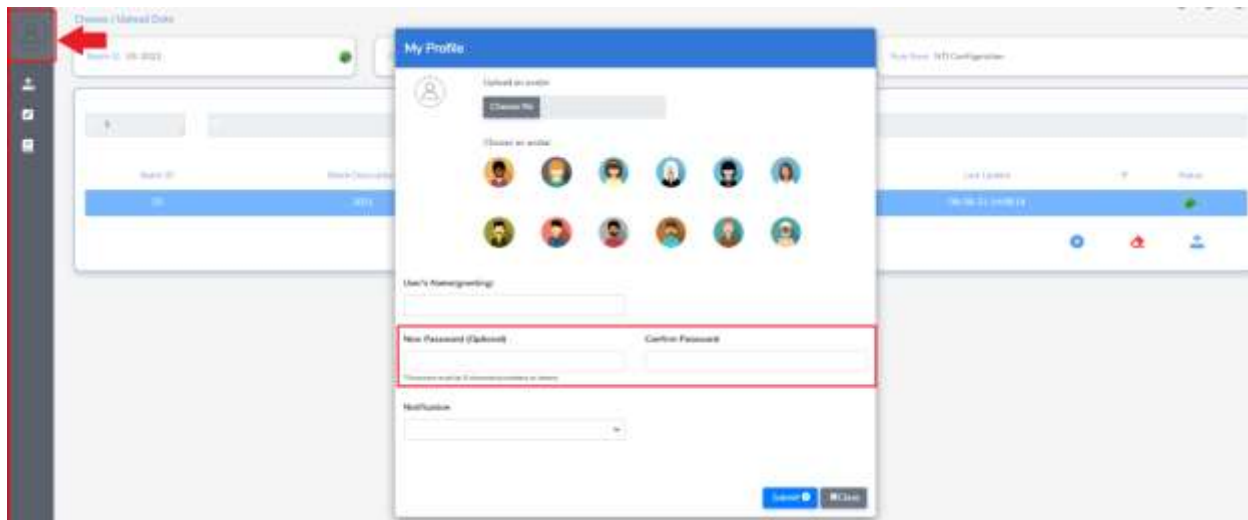


Changing my password

You can change your password upon entering the system.



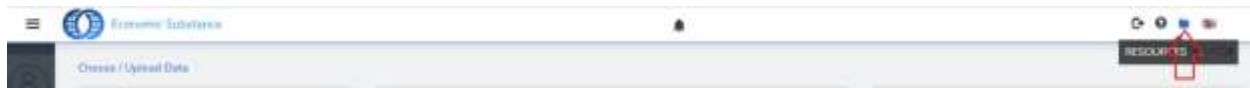
Or you can change your password, once logged in, by double clicking on the user information in the top left corner.





Resources

Resources, such as the the User Guide are available at the top of the screen. Click on “Resources” and then the blue hyper link of the resource to retrieve.



The Resources link will display the Resources available

Support

Please do not hesitate to contact eoigov@goi.gov.tc if you have any question.



Country Codes

Country or Area Name	ISO "ALPHA- 2 Codes
Afghanistan	AF
Aland Islands	AX
Albania	AL
Algeria	DZ
American Samoa	AS
Andorra	AD
Angola	AO
Anguilla	AI
Antarctica	AQ
Antigua and Barbuda	AG
Argentina	AR
Armenia	AM
Aruba	AW
Australia	AU
Austria	AT
Azerbaijan	AZ
Bahamas	BS
Bahrain	BH
Bangladesh	BD
Barbados	BB
Belarus	BY
Belgium	BE
Belize	BZ
Benin	BJ
Bermuda	BM
Bhutan	BT
Bolivia	BO
Bonaire, Saint Eustatius and Saba / BES Islands = NL special municipality	BQ
Bosnia and Herzegovina	BA



Botswana	BW
Bouvet Island	BV
Brazil	BR
British Virgin Islands	VG
British Indian Ocean Territory	IO
Brunei Darussalam	BN
Bulgaria	BG
Burkina Faso	BF
Burundi	BI
Cambodia	KH
Cameroon	CM
Canada	CA
Cape Verde	CV
Cayman Islands	KY
Central African Republic	CF
Chad	TD
Chile	CL
China	CN
Hong Kong, Special Administrative Region of China	HK
Macao, Special Administrative Region of China	MO
Christmas Island	CX
Cocos (Keeling) Islands	CC
Colombia	CO
Comoros	KM
Congo (Brazzaville)	CG
Congo, Democratic Republic of the	CD
Cook Islands	CK
Costa Rica	CR
Côte d'Ivoire	CI
Croatia	HR
Cuba	CU
Curacao	CW
Cyprus	CY
Czech Republic	CZ
Denmark	DK
Djibouti	DJ
Dominica	DM
Dominican Republic	DO
Ecuador	EC
Egypt	EG
El Salvador	SV



Equatorial Guinea	GQ
Eritrea	ER
Estonia	EE
Ethiopia	ET
Falkland Islands (Malvinas)	FK
Faroe Islands	FO
Fiji	FJ
Finland	FI
France	FR
French Guiana	GF
French Polynesia	PF
French Southern Territories	TF
Gabon	GA
Gambia	GM
Georgia	GE
Germany	DE
Ghana	GH
Gibraltar	GI
Greece	GR
Greenland	GL
Grenada	GD
Guadeloupe	GP
Guam	GU
Guatemala	GT
Guernsey	GG
Guinea	GN
Guinea-Bissau	GW
Guyana	GY
Haiti	HT
Heard Island and Mcdonald Islands	HM
Holy See (Vatican City State)	VA
Honduras	HN
Hungary	HU
Iceland	IS
India	IN
Indonesia	ID
Iran, Islamic Republic of	IR
Iraq	IQ
Ireland	IE
Isle of Man	IM
Israel	IL



Italy	IT
Jamaica	JM
Japan	JP
Jersey	JE
Jordan	JO
Kazakhstan	KZ
Kenya	KE
Kiribati	KI
Korea, Democratic People's Republic of (North)	KP
Korea, Republic of (South)	KR
Kosovo*	XK
Kuwait	KW
Kyrgyzstan	KG
Lao PDR	LA
Latvia	LV
Lebanon	LB
Lesotho	LS
Liberia	LR
Libya	LY
Liechtenstein	LI
Lithuania	LT
Luxembourg	LU
Macedonia, Republic of	MK
Madagascar	MG
Malawi	MW
Malaysia	MY
Maldives	MV
Mali	ML
Malta	MT
Marshall Islands	MH
Martinique	MQ
Mauritania	MR
Mauritius	MU
Mayotte	YT
Mexico	MX
Micronesia, Federated States of	FM
Moldova	MD
Monaco	MC
Mongolia	MN
Montenegro	ME
Montserrat	MS



Morocco	MA
Mozambique	MZ
Myanmar	MM
Namibia	NA
Nauru	NR
Nepal	NP
Netherlands	NL
Netherlands Antilles	AN
New Caledonia	NC
New Zealand	NZ
Nicaragua	NI
Niger	NE
Nigeria	NG
Niue	NU
Norfolk Island	NF
Northern Mariana Islands	MP
Norway	NO
Oman	OM
Pakistan	PK
Palau	PW
Palestinian Territory, Occupied	PS
Panama	PA
Papua New Guinea	PG
Paraguay	PY
Peru	PE
Philippines	PH
Pitcairn	PN
Poland	PL
Portugal	PT
Puerto Rico	PR
Qatar	QA
Réunion	RE
Romania	RO
Russian Federation	RU
Rwanda	RW
Saint-Barthelemy	BL
Saint Helena	SH
Saint Kitts and Nevis	KN
Saint Lucia	LC
Saint Maarten (Dutch part)	SX
Saint-Martin (French part)	MF



Saint Pierre and Miquelon	PM
Saint Vincent and Grenadines	VC
Samoa	WS
San Marino	SM
Sao Tome and Principe	ST
Saudi Arabia	SA
Senegal	SN
Serbia	RS
Seychelles	SC
Sierra Leone	SL
Singapore	SG
Slovakia	SK
Slovenia	SI
Solomon Islands	SB
Somalia	SO
South Africa	ZA
South Georgia and the South Sandwich Islands	GS
South Sudan	SS
Spain	ES
Sri Lanka	LK
Sudan	SD
Suriname	SR
Svalbard and Jan Mayen Islands	SJ
Swaziland	SZ
Sweden	SE
Switzerland	CH
Syrian Arab Republic (Syria)	SY
Taiwan, Republic of China	TW
Tajikistan	TJ
Tanzania, United Republic of	TZ
Thailand	TH
Timor-Leste	TL
Togo	TG
Tokelau	TK
Tonga	TO
Trinidad and Tobago	TT
Tunisia	TN
Turkey	TR
Turkmenistan	TM
Turks and Caicos Islands	TC
Tuvalu	TV

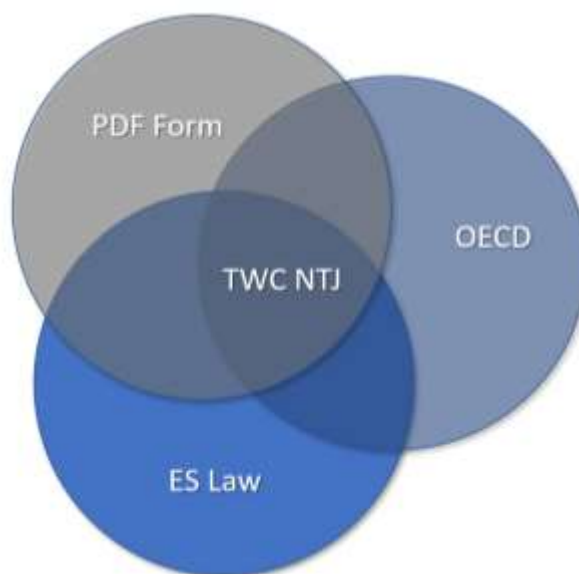


Uganda	UG
Ukraine	UA
United Arab Emirates	AE
United Kingdom	GB
United States of America	US
United States Minor Outlying Islands	UM
Uruguay	UY
Uzbekistan	UZ
Vanuatu	VU
Venezuela (Bolivarian Republic of)	VE
Viet Nam	VN
Virgin Islands, US	VI
Wallis and Futuna Islands	WF
Western Sahara	EH
Yemen	YE
Zambia	ZM
Zimbabwe	ZW



Requirements Summary

Although our system seems complicated it stems from the various sources of requirements. We have the OECD documentation which provides the XML schema, and their sample PDF forms. We also have TC ES Law, and finally we have the current TC Economic Substance Return Form (PDF Form). As an example, the OECD requires certain quantifiable data elements for data exchange (gross income, operating expenses directly incurred, operating expenses outsourcing expenditures, and number of employees by CIGA or IP revenue type) which is required for exchange under the OECD, but not specified in the TC ES law or PDF. Conversely, in the PDF form we collect director information, something not required under the OECD guidance.



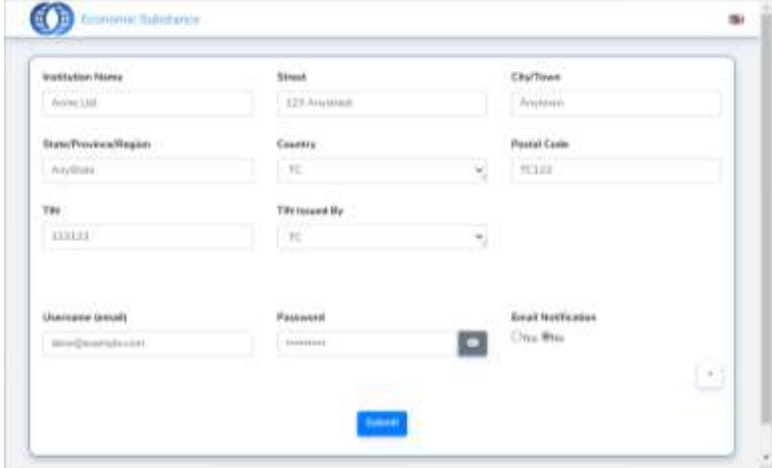
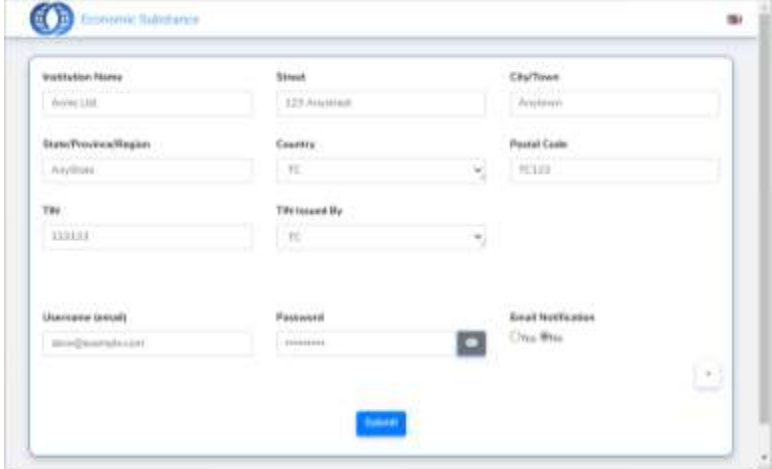
Venn Diagram of Requirements



Below we have a list of each function point and whether specified in each requirement source covers that function point. In the sections below we have specific details on the function point, the requirement source, and NTJ response. The same set of function points are repeated for each requirement document: the current PDF form, the TC ES law, and the OECD. For each, we show how TWC's NTJ system supports each function point.

Item	OECD	ES Law	PDF	NTJ System
Company Registration				X
Company Information	X		X	X
Non-TCI Entity			X	X
Holding Entities	X		X	X
Holding UBOs	X		X	X
Directors			X	X
CIGA Activities	X	X	X	X
CIGA Data	X			X
IP Test		X		X
IP Data	X			X
Pure Holding Company		X		X
Direction and Management in the Islands		X		X
Supporting Docs			X	X
Declaration			X	X
Review			X	X
Exchange	X			X



Section	PDF	NTJ System
Company Registration (OECD)		
Company Registration (ES Law)		



Company
Reg-
istration
(PDF)

Economic Substance

Institution Name
Aryna Ltd

Street
123 AnyStreet

City/Town
Anytown

State/Province/Region
AnyState

Country
FC

Postal Code
PC123

TIN
123456

Taxpayer ID
FC

Username (Email)
user@example.com

Password
password

Email Notification
 Yes No

Submit



Company Info (OECD)

2. Identification of the entity and where appropriate the group of companies to which

<i>Taxpayer identification number (TIN) or other identification reference number</i>		
<i>Legal name of the entity</i>		
<i>Address</i>	<i>Street</i>	
	<i>Building</i>	
	<i>Suite</i>	
	<i>Floor</i>	
	<i>District Name</i>	
	<i>Post Office Box</i>	
	<i>Post Code</i>	
	<i>City</i>	
	<i>Country</i>	
	<i>State/Province</i>	
<i>Name of group, if different</i>		

Overview | Updated Date

Next (1) / 3 (2) / 2022 | Overview | Next (1) / 3 (2) / 2022

Company Information

Overview | ID info | Address

Is the entity a TCI Resident Entity? Yes No

Company Name*

Group Name (if different)

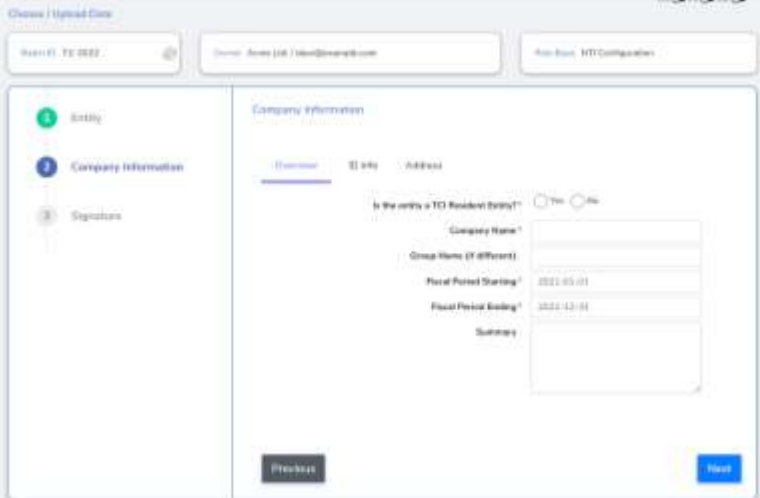
Fiscal Period Starting* 2022-01-01

Fiscal Period Ending* 2022-12-31

Summary

Previous Next



<p>Company Info (ES Law)</p>	<p>AN ORDINANCE TO REQUIRE COMPANIES AND LIMITED PARTNERSHIPS RESIDENT IN THE ISLANDS THAT CARRY ON CERTAIN SPECIFIED TYPES OF BUSINESS TO MEET SUBSTANCE REQUIREMENTS SO AS TO ENSURE THAT REAL ECONOMIC ACTIVITY IS BEING CARRIED ON OR UNDERTAKEN IN THE ISLANDS IN RESPECT OF THE INCOME OF THE COMPANY OR LIMITED PARTNERSHIP AND RELATED CONNECTED PURPOSES.</p>	
----------------------------------	---	--



Company Info	1 Applicant	
	2 Entity Name	
	3 Address	
	Street Address: Street Address Line 1: City: State/Province: Postal/ Zip Code: Country:	
4 Is the reporting Entity a Resident Entity	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Choose / Upload Data		
Input ID: TD 0022	Owner: Amer (06 / 1000@newjersey.com)	Has Data: NTJ Configuration
1 Entity	Company Information	
2 Company Information	Company Information	
3 Signature		
	Is the entity a TD Resident Entity? <input type="radio"/> Yes <input type="radio"/> No	
	Company Name*	
	Group Name (if different)	
	Fiscal Period Starting* 2022-03-01	
	Fiscal Period Ending* 2022-12-31	
	Summary	
	<input type="button" value="Previous"/>	<input type="button" value="Next"/>



<p>Non-TCI Entity (OECD)</p>	<p>For the purposes of identifying the jurisdiction of residence, the jurisdiction may rely on reporting by the reportable entity (provided that there are penalties that apply for false reporting). In the absence of such reporting, the jurisdiction may, for legal entities, rely on the place of incorporation / formation of the entity, or, in absence thereof and for natural persons, on the address of the person or entity.</p>	<div data-bbox="961 251 1669 885"><h3>Submission Confirmation</h3><p>The Entity is subject to corporate income tax on all of its income from the relevant activity by virtue of its tax residence, domicile or other criteria of a similar nature in the Jurisdiction of tax residence or;</p><p>The Entity is a "disregarded entity" for U.S. income tax purposes, and has a U.S. Corporation or Individual as its Parent and all of the Entity's income has been included on the tax return of U.S. Parent.</p><p>If so, please include all supporting documentation.</p><p><input type="button" value="I Confirm"/> <input type="button" value="Cancel"/></p></div>
------------------------------	---	--



<p>Non-TCI Entity (ES Law)</p>	<p>Resident entities 3. (1) A TCI entity is a resident entity unless it is tax resident in a country outside the Islands which is not on the European Union list of non-cooperative jurisdictions for tax purposes.</p>	<div data-bbox="961 248 1671 876"><h3>Submission Confirmation</h3><p>The Entity is subject to corporate income tax on all of its income from the relevant activity by virtue of its tax residence, domicile or other criteria of a similar nature in the Jurisdiction of tax residence or;</p><p>The Entity is a "disregarded entity" for U.S. income tax purposes, and has a U.S. Corporation or Individual as its Parent and all of the Entity's income has been included on the tax return of U.S. Parent.</p><p>If so, please include all supporting documentation.</p><p><input type="button" value="I Confirm"/> <input type="button" value="Cancel"/></p></div>
--------------------------------	---	--



Non-TCI Entity (PDF)	5 If you answered no above, which jurisdiction are you resident for tax purposes? <i>You are required to provide (1) all the documentation that is necessary to support your response and (2) written details and explanations on the reasons for their an</i>	
	6	Provide TIN or Similar for Jurisdiction of Tax Residence
	7	Please confirm*: The Entity is subject to corporate income tax on all of its income from the relevant activity by virtue of its tax residence, domicile or other criteria of a similar nature in the jurisdiction of tax residence or
	8	The Entity is a "disregarded entity" for U.S. income tax purposes, and has a U.S. Corporation or Individual as its Parent and all of the Entity's income has been included on the tax return of the U.S. Parent

Submission Confirmation

The Entity is subject to corporate income tax on all of its income from the relevant activity by virtue of its tax residence, domicile or other criteria of a similar nature in the Jurisdiction of tax residence or;

The Entity is a "disregarded entity" for U.S. income tax purposes, and has a U.S. Corporation or Individual as its Parent and all of the Entity's income has been included on the tax return of U.S. Parent.

If so, please include all supporting documentation.



Holding
Entities
(OECD)

4. Details of the entities/persons in the recipient jurisdiction(s).

	Name of entity/person	Address and jurisdiction of tax residence	TIN or other tax reference number, where available	Type (incomplete)
I.				

Select Nexus Type

UBO Director Entity

OK Cancel

Entity

Overview Details

TIN issued by: TC

TIN: Enter TIN

IN: [dropdown]

Edit Add Remove

Street:

Building Identifier:

Suite Identifier:

Floor Identifier:

PO Box:

Country Subentity:

City or Town:

State/Province/Region:

Postal Code: Enter Valid Postal Code

ISO-2 Country Code: TC

Reportable Jurisdiction: TC

Save Cancel



Holding
Entities
(ES Law)

Select Nexus Type

UBO Director Entity

OK Cancel

Entity

Overview Details

TIN Issued By: TC

TIN: Enter TIN

IN

Edit Add Remove

Street:

Building Identifier:

Suite Identifier:

Floor Identifier:

P.O. Box:

Country Subentity:

City or Town:

State/Province/Region:

Postal Code: Enter Valid Postal Code

ISO-2 Country Code: TC

Reportable Jurisdiction: TC

Save Cancel



Holding Entities (PDF)

9	Name of Holding Entity
10	Address of Each Holding Entity

Street Address:
Street Address Line 1:
City:
State/Province:
Postal/ Zip Code:
Country:

The image shows two overlapping software windows. The top window is titled 'Select Nexus Type' and contains three radio button options: 'UBO', 'Director', and 'Entity'. The 'Entity' option is selected. There are 'OK' and 'Cancel' buttons at the bottom right. The bottom window is titled 'Entity' and has two tabs: 'Overview' and 'Details'. The 'Details' tab is active, showing a form with the following fields: 'TIN issued By' (dropdown menu with 'TC' selected), 'TIN' (text input with placeholder 'Enter TIN'), 'IN' (dropdown menu), 'Street' (text input), 'Building Identifier' (text input), 'Suite Identifier' (text input), 'Floor Identifier' (text input), 'PO Box' (text input), 'Country Subentity' (text input), 'City or Town' (text input), 'State/Province/Region' (text input), 'Postal Code' (text input with placeholder 'Enter Valid Postal Code'), 'ISO-2 Country Code' (dropdown menu with 'TC' selected), and 'Reportable Jurisdiction' (dropdown menu with 'TC' selected). There are 'Edit', 'Add', and 'Remove' buttons between the 'TIN' and 'IN' fields, and 'Save' and 'Cancel' buttons at the bottom right.



Holding
UBOs
(OECD)

4. Details of the entities/persons in the recipient jurisdiction(s).

	Name of entity/person	Address and jurisdiction of tax residence	TIN or other tax reference number, where available	Type (in multiple jurisdictions)
1.				

Select Nexus Type

UBO Director Entity

OK Cancel

UBO

Overview Additional Fields ID info Address

First Name*

Middle Name*

Last Name*

Comments*

Summary*

Nexus Type*

UBO Type*

Save Cancel



Holding
UBOs
(ES Law)

Select Nexus Type

UBO Director Entity

OK Cancel

UBO

Overview Additional Fields ID info Address

First Name* Dave

Middle Name* The

Last Name* Owner

Comments

Summary

Nexus Type* Ultimate beneficial owner

UBO Type* legal arrangement - other - trust/beneficial

Save Cancel



Holding UBOs (PDF)	11 Details of Each Beneficial Owner: <i>You are required to provide (1) all the documentation and (2) written details and explanation.</i>	Select Nexus Type <input type="radio"/> UBO <input type="radio"/> Director <input checked="" type="radio"/> Entity OK Cancel
	Prefix: First Name: Middle Name: Last Name: Suffix:	UBO Overview Additional Fields ID info Address First Name: <input type="text" value="Dane"/> Middle Name: <input type="text" value="The"/> Last Name: <input type="text" value="Owner"/> Comments: <input type="text"/> Summary: <input type="text"/> Nexus Type: <input type="text" value="Ultimate beneficial owner"/> UBO Type: <input type="text" value="legal arrangement - officer - trustee-agent"/> Save Cancel
	12 Address of Each Beneficial Owner	
	Street Address: Street Address Line 1: City: State/Province: Postal/ Zip Code: Country:	



Directors
(OECD)

Select Nexus Type

UBO Director Entity

OK Cancel

Directors

Overview Additional Fields ID info Address

First Name* Dan

Middle Name* The

Last Name* Owner

Comments

Summary

Nexus Type* Ultimate beneficial owner

UBO Type* legal arrangement - other - trustee-appointee

Save Cancel



Directors
(ES Law)

Select Nexus Type

UBO Director Entity

OK Cancel

Directors

Overview Additional Fields ID info Address

First Name* Dan

Middle Name* The

Last Name* Owner

Comments

Summary

Nexus Type* Ultimate beneficial owner

UBO Type* legal arrangement - other - trustee/agent

Save Cancel



Directors
(PDF)

14 Address of Each Director

- 1. Name of Director:
- Street Address:
- Street Address Line 1:
- City:
- State/Province:
- Postal/ Zip Code:
- Country:

Select Nexus Type

UBO Director Entity

OK Cancel

Directors

Overview Additional Fields ID info Address

First Name* Dane

Middle Name* The

Last Name* Owner

Comments

Summary

Nexus Type* Ultimate beneficial owner

UBO Type* legal arrangement - other - trustee/agent

Save Cancel



CIGA
Activities
(OECD)

Reportable Entity, Activities, Key data, Other

Element	Attribute	Item	Input Type	Range
TypeIncome	Activity	KeyData	Other_EntityType	0

The repeatable Type Income element specifies the types of the activities that a Reportable Entity was active in during the relevant year and for which the substance requirements have not been met. The possible values are:

- NTJ501 – Headquarters
- NTJ502 – Financing and Leasing
- NTJ503 – Banking
- NTJ504 – Insurance
- NTJ505 – Distribution and service centre
- NTJ506 – Shipping
- NTJ507 – Holding
- NTJ508 – Fund management
- NTJ509 – Intellectual property
- NTJ510 – Other

For each of the selected values the below information on the gross income, expense, number of employees in relation to the selected activity can be entered, as required by the OECD Standard. When the value NTJ510 – Other is selected, additional narrative information on the type of income should be provided in the Summary element.



<p>CIGA Activities (ES Law)</p>	<p>(d) with respect to fund management business—</p> <ul style="list-style-type: none">(i) taking decisions on the holding and selling of investments;(ii) calculating risk and reserves;(iii) taking decisions on currency or interest fluctuations and hedging positions; and(iv) preparing reports and returns to investors and the Commission, any authority or body with equivalent functions to the Commission with respect to the regulation or supervision of fund management or other government authorities; <p>Repeated for:</p> <ul style="list-style-type: none">Banking BusinessDistribution and Service Centre BusinessFinance & Leasing BusinessFund Management BusinessHeadquarters BusinessHolding Entity BusinessInsurance BusinessShipping	<p>1 Entity</p> <p>2 Company Information</p> <p>3 Relevant Activity</p> <p>4 Other Activities</p> <p>5 Signature</p> <p>Other Activities</p> <ul style="list-style-type: none"><input type="checkbox"/> Headquarters<input type="checkbox"/> Financing and Leasing<input type="checkbox"/> Banking <p>with respect to banking business—</p> <ul style="list-style-type: none">• raising funds;• managing risk including credit, currency and interest risk;• taking hedging positions;• providing loans, credit or other financial services to customers;• managing capital; and• preparing reports or returns to the Commission or any authority or body with equivalent functions to the Commission with respect to the regulation or supervision of banking business <p>Previous</p>
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CIGA Activities (PDF)

15 Business Income Type:
You are required to provide (1) all the documentation that is necessary to support your response and (2) written details and explanations on the reasons for their answers.

Relevant Activity	CIGA	Provided
Banking Business:	(i) raising funds; (ii) managing risk including credit, currency and interest risk; (iii) taking hedging positions; (iv) providing loans, credit or other financial services to customers;	<input type="checkbox"/> Yes <input type="checkbox"/> No

Repeated for:
Banking Business
Distribution and Service Centre Business
Finance & Leasing Business
Fund Management Business
Headquarters Business
Holding Entity Business
Insurance Business
Shipping

1 Entity

2 Company Information

3 Relevant Activity

4 Other Activities

5 Signature

Other Activities

Headquarters ⓘ

Financing and Leasing ⓘ

Banking ⓘ

with respect to banking business—

- raising funds;
- managing risk including credit, currency and interest risk;
- taking hedging positions;
- providing loans, credit or other financial services to customers;
- managing capital; and
- preparing reports or returns to the Commission or any authority or body with equivalent functions to the Commission with respect to the regulation or supervision of banking business

Previous



CIGA
Data
(OECD)

3. Details on relevant activities in respect of which the substance requirements have not been met. Check the appropriate box (or boxes) and fill in the according amounts with an indicative currency and the numbers of employees carrying out the concerned relevant activity.

Type of income		Amount of gross income	Amount of operating expenses incurred		Number of full-time equivalent employees
			Directly incurred	Outsourcing expenditure	
Headquarters	<input type="checkbox"/>				
Financing and leasing	<input type="checkbox"/>				
Banking	<input type="checkbox"/>				
Insurance	<input type="checkbox"/>				

Activity Data

Type Income *	Gross Income *	Expenses Direct *	Expenses Outsourcing *	Employees *
Headquarters	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Financing and Leasing	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Banking	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Insurance	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Distributions and service centres	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Shipping	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Holding	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund management	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Intellectual property	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Showing 1 to 9 of 9 entries

Annual Income *
 NetBook Value *

Previous

Next



CIGA
Data
(ES Law)

Activity Data

Type Income*	Gross Income*	Expenses Direct*	Expenses Outsourcing*	Employees*
Headquarters	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Financing and Lending	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Banking	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Insurance	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Distribution and service centers	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Shipping	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Holding	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund management	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Intellectual property	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Showing 1 to 9 of 9 entries

Annual Income*
NetBook Value*

Previous

Next



CIGA
Data
(PDF)

Activity Data

Type Income*	Gross Income*	Expenses Direct*	Expenses Outsourcing*	Employees*
Headquarters	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Financing and Lending	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Banking	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Insurance	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Distribution and service centers	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Shipping	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Holding	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund management	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Intellectual property	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Showing 1 to 9 of 9 entries

Annual Income*
NetBook Value*

Previous

Next



IP Test (OECD)		<p>Ip Test</p> <p>Regarding the Intellectual Property held:</p> <p>Did the entity acquire the intellectual property asset from a group entity or in consideration for funding research and development another person situated in a country other than the Islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity license the intellectual property asset to one or more group entities or otherwise generates income from the asset as a consequence of activities (such as facilitating sale agreements) performed by foreign group entities?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity carry out either research and development or branding and distribution as part of its core-income-generating activity in the Islands? This does not apply with respect to intellectual property assets that comprise technical know-how, performers rights or be</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Check the source of all revenue streams:</p> <p><input type="checkbox"/> Royalties <input type="checkbox"/> Sale of Assets <input type="checkbox"/> Other</p> <p>Previous Next</p>	
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<p>IP Test (ES Law)</p>	<p>6. (1) For the purposes of this Ordinance, an entity is a highrisk IP entity if it carries on intellectual property holding business and (a) the entity— (i) acquired the intellectual property asset— (A) from a group entity; or (B) in consideration for funding research and development by another person situated in a country other than the Islands; and (ii) licences the intellectual property asset to one or more group entities or otherwise generates income from the asset in consequence of activities (such as facilitating sale agreements) performed by foreign group entities; or (b) the entity does not carry out either research and development or branding and distribution as part of its core-income-generating activities in the Islands. (2) Subsection (1)(b) does not apply with respect to intellectual property assets that comprise technical know-how, performers rights or both.</p>	<p>Ip Test</p> <p>Regarding the Intellectual Property held:</p> <p>Did the entity acquire the intellectual property asset from a group entity or in consideration for funding research and development another person situated in a country other than the Islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity license the intellectual property asset to one or more group entities or otherwise generates income from the asset in consequence of activities (such as facilitating sale agreements) performed by foreign group entities?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity carry out either research and development or branding and distribution as part of its core-income-generating activity in the Islands? This does not apply with respect to intellectual property assets that comprise technical know-how, performers rights or both.</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Check the source of all revenue streams:</p> <p><input type="checkbox"/> Royalties <input type="checkbox"/> Sale of Assets <input type="checkbox"/> Other</p> <p>Previous Next</p>
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<p>IP Test (PDF)</p>		<p>Ip Test</p> <p>Regarding the Intellectual Property held:</p> <p>Did the entity acquire the intellectual property asset from a group entity or in consideration for funding research and development another person situated in a country other than the Islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity license the intellectual property asset to one or more group entities or otherwise generates income from the asset in consequence of activities (such as facilitating sale agreements) performed by foreign group entities?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity carry out either research and development or branding and distribution as part of its core-income-generating activity in the Islands? This does not apply with respect to intellectual property assets that comprise technical know-how, performers rights or be</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Check the source of all revenue streams:</p> <p><input type="checkbox"/> Royalties <input type="checkbox"/> Sale of Assets <input type="checkbox"/> Other</p> <p>Previous Next</p>																																																
<p>IP Data (OECD)</p>	<p>3. Details on relevant high risk IP activities. Please check the appropriate box (or boxes) according amounts with an indication of the currency and the numbers of employees concerned relevant activity.</p> <table border="1"> <thead> <tr> <th rowspan="2">Type of income</th> <th rowspan="2">Amount of gross income</th> <th colspan="2">Amount of operating expenses incurred</th> <th rowspan="2">Number of employees</th> </tr> <tr> <th>Directly incurred</th> <th>Outsourcing expenditure</th> </tr> </thead> <tbody> <tr> <td>Royalties</td> <td><input type="checkbox"/></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Gains from sale of IP asset</td> <td><input type="checkbox"/></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Other (please specify)</td> <td><input type="checkbox"/></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="5">Gross total annual income of the entity</td> </tr> </tbody> </table>	Type of income	Amount of gross income	Amount of operating expenses incurred		Number of employees	Directly incurred	Outsourcing expenditure	Royalties	<input type="checkbox"/>				Gains from sale of IP asset	<input type="checkbox"/>				Other (please specify)	<input type="checkbox"/>				Gross total annual income of the entity					<p>Activity Data</p> <table border="1"> <thead> <tr> <th>Type Income*</th> <th>Gross Income*</th> <th>Expenses Direct*</th> <th>Expenses Outsourcing*</th> <th>Employees*</th> </tr> </thead> <tbody> <tr> <td>Inventories</td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> </tr> <tr> <td>Distributor and service centre</td> <td>5</td> <td>6</td> <td>7</td> <td>8</td> </tr> <tr> <td>Shipping</td> <td>9</td> <td>10</td> <td>11</td> <td>12</td> </tr> </tbody> </table> <p>Showing 1 to 3 of 3 entries</p> <p>Annual Income* 12212</p> <p>NetBook Value* 1212</p> <p>Previous Next</p>	Type Income*	Gross Income*	Expenses Direct*	Expenses Outsourcing*	Employees*	Inventories	1	2	3	4	Distributor and service centre	5	6	7	8	Shipping	9	10	11	12	
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<p>Pure Holding Company (OECD)</p>		<ul style="list-style-type: none">1 Entity2 Company Information3 Relevant Activity4 Other Activities5 Activity Data6 Exchange Nexus Type7 Economic Substance Test8 Signature	<h3>Economic Substance Test</h3> <p>Regarding the Direction and Management in the Islands:</p> <p>Does the entity's board of directors meet in the islands, with adequate frequency having regard to the level of board?</p> <p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p> <p>During each board meeting, there is a quorum of directors physically present in the islands?</p> <p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and not those decisions?</p> <p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise on the board?</p> <p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or Partnerships Ordinance are kept in the islands?</p> <p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p> <div style="border: 2px solid red; padding: 5px;"><p>Does the holding company have an office in TCI?</p><p style="text-align: right;"><input type="radio"/> Yes <input type="radio"/> No</p></div> <p style="text-align: center;">Previous</p>
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<p>Pure Holding Company (ES Law)</p>	<p>8.5 A pure equity holding entity satisfies the substance requirements if the entity has an adequate number of persons and has adequate premises for managing the shares or equitable interests that it holds.</p>	<p>Economic Substance Test</p> <p>Regarding the Direction and Management in the Islands:</p> <p>Does the entity's board of directors meet in the islands, with adequate frequency having regard to the level of board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>During each board meeting, there is a quorum of directors physically present in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and not those decisions?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise on the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or Partnerships Ordinance are kept in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the holding company have an office in TCI?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Previous</p>
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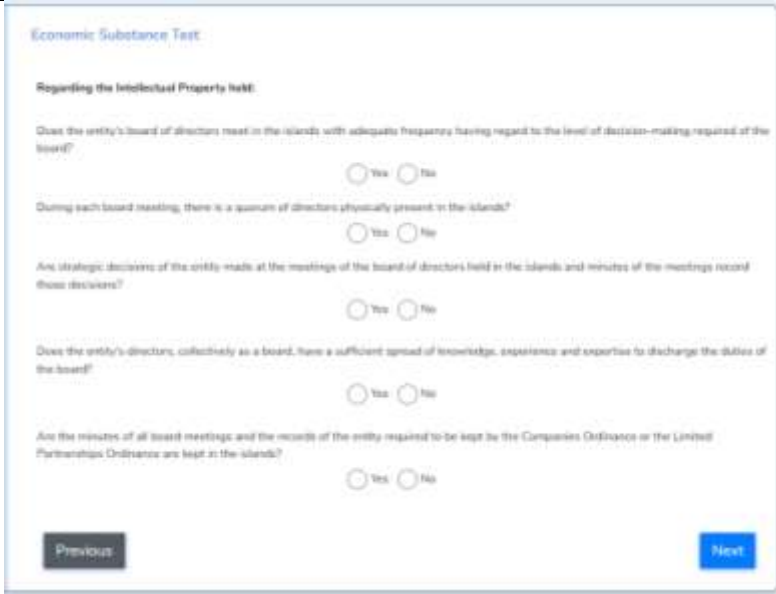


<p>Pure Holding Company (PDF)</p>		<ul style="list-style-type: none">1 Entity2 Company Information3 Relevant Activity4 Other Activities5 Activity Data6 Exchange Nexus Type7 Economic Substance Test8 Signature	<h3>Economic Substance Test</h3> <p>Regarding the Direction and Management in the Islands:</p> <p>Does the entity's board of directors meet in the islands, with adequate frequency having regard to the level of board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>During each board meeting, there is a quorum of directors physically present in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and not those decisions?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise on the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or Partnerships Ordinance are kept in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <div style="border: 2px solid red; padding: 2px;"><p>Does the holding company have an office in TCI?</p><p><input type="radio"/> Yes <input type="radio"/> No</p></div> <p>Previous</p>
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Direction & Management in the Islands (OECD)		<p>Economic Substance Test</p> <p>Regarding the Intellectual Property held:</p> <p>Does the entity's board of directors meet in the islands with adequate frequency having regard to the level of decision-making required of the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>During each board meeting, there is a quorum of directors physically present in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and minutes of the meetings record those decisions?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise to discharge the duties of the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or the Limited Partnerships Ordinance in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Previous Next</p>	
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<p>Direction & Management in the Islands (ES Law)</p>	<p>Direction and management in the Islands</p> <p>9. For the purposes of section 8(3)(a), a resident entity carrying on a relevant activity is directed and managed in the Islands if—</p> <p>(a) the entity’s board of directors meets in the Islands with adequate frequency having regard to the level of decision-making required of the board;</p> <p>(b) during each board meeting, there is a quorum of directors physically present in the Islands;</p> <p>(c) strategic decisions of the entity are made at the meetings of the board of directors held in the Islands and the minutes of the meetings record those decisions;</p> <p>(d) the directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise to discharge the duties of the board;</p> <p>(e) the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or the Limited Partnerships Ordinance are kept in the Islands.</p>	 <p>The screenshot shows a digital form titled "Economic Substance Test" with the sub-heading "Regarding the Intellectual Property held:". It contains five questions, each with "Yes" and "No" radio button options:</p> <ul style="list-style-type: none">Question 1: "Does the entity's board of directors meet in the islands with adequate frequency having regard to the level of decision-making required of the board?"Question 2: "During each board meeting, there is a quorum of directors physically present in the islands?"Question 3: "Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and minutes of the meetings record those decisions?"Question 4: "Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise to discharge the duties of the board?"Question 5: "Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or the Limited Partnerships Ordinance are kept in the islands?" <p>At the bottom of the form, there are "Previous" and "Next" buttons.</p>
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Direction & Management in the Islands (PDF)		<p>Economic Substance Test</p> <p>Regarding the Intellectual Property held:</p> <p>Does the entity's board of directors meet in the islands with adequate frequency having regard to the level of decision-making required of the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>During each board meeting, there is a quorum of directors physically present in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are strategic decisions of the entity made at the meetings of the board of directors held in the islands and minutes of the meetings record those decisions?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Does the entity's directors, collectively as a board, have a sufficient spread of knowledge, experience and expertise to discharge the duties of the board?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Are the minutes of all board meetings and the records of the entity required to be kept by the Companies Ordinance or the Limited Partnerships Ordinance are kept in the islands?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>Previous Next</p>										
Supporting Docs (OECD)		<p>Supporting Documents</p> <table border="1"><thead><tr><th>Document Name</th><th>File</th><th>Action</th></tr></thead><tbody><tr><td>groupisou1.txt</td><td></td><td></td></tr><tr><td>Single- ENG.xlsx</td><td></td><td></td></tr></tbody></table> <p>Showing 1 to 2 of 2 entries</p> <p>← 1 →</p> <p>Add File</p>	Document Name	File	Action	groupisou1.txt			Single- ENG.xlsx			
Document Name	File	Action										
groupisou1.txt												
Single- ENG.xlsx												



Supporting
Docs
(ES Law)

Requirement to provide information and documents

11. (1) A TCI entity shall, in addition to complying with section 10, provide the Competent Authority with such information or documents that the Competent Authority may, by written notice, reasonably require in order "to assist it to—

- (a) determine whether a TCI entity is a resident entity in an accounting period; or
- (b) make a determination under section 13.

(2) The Competent Authority may, by notice in writing given to any person that the Competent Authority reasonably believes to have information or documents relevant to the discharge of its functions under this Ordinance, require that person, within the time period specified in the notice—

- (a) to provide specified information or information of a specified description; or
- (b) to produce specified documents or documents of a specified description.

(3) The Competent Authority may require—

- (a) any information provided under this section to be provided in such form as it may specify;
- (b) any information provided or documents produced under this section to be verified or authenticated in such manner as it may reasonably specify; and
- (c) that the information is to be provided to, or the documents are to be produced—
 - (i) to a person; and
 - (ii) at the place; andspecified in the notice

Supporting Documents

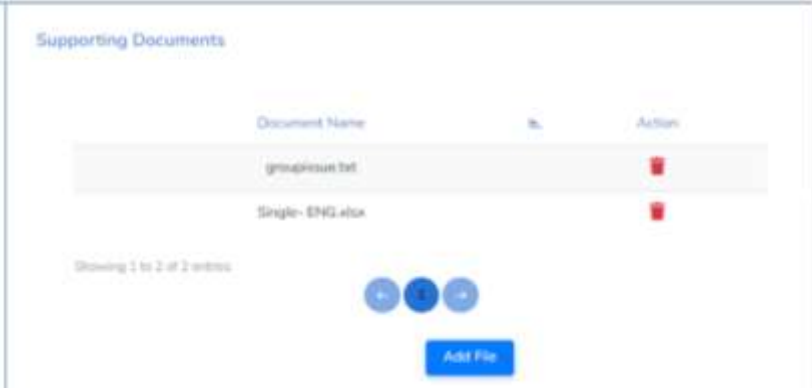
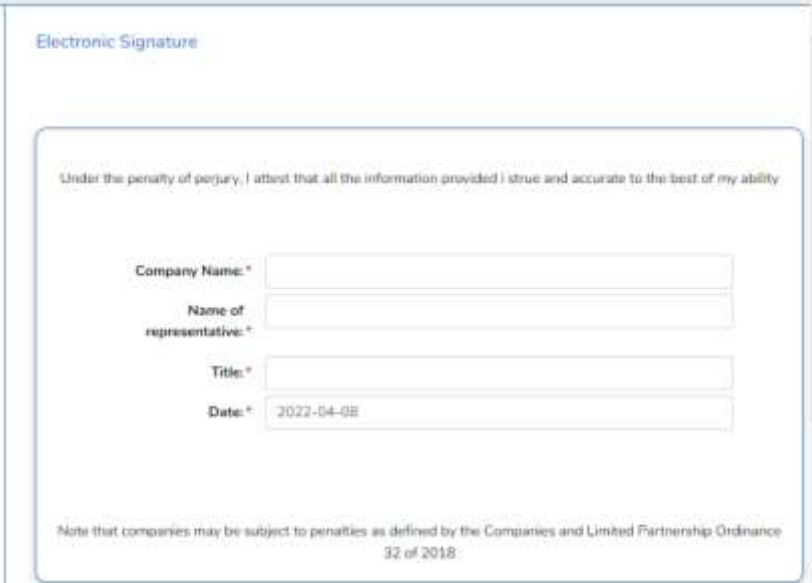
Document Name	File	Action
groupissun.pdf		
Single-ENG.xlsx		

Showing 1 to 2 of 2 entries



Add File



Supporting Docs (PDF)	<p>24 Detail how the relevant activities they undertake in TCI are directly managed in TCI:</p> <p><i>You are required to provide (1) all the documentation that is necessary to support your response and (2) written details and explanations on the reasons for your answers.</i></p>		
Declaration (OECD)			



<p>Declaration (ES Law)</p>	<p>False and misleading information</p> <p>22. (1) A person shall not knowingly or wilfully provide false or misleading information to the Competent Authority—</p> <p>(a) false information; or</p> <p>(b) misleading information, including by the omission of material information.</p> <p>(2) A person who contravenes subsection (1), commits an offence and is liable on summary conviction, to a fine not exceeding \$100,000.</p>	<p>Electronic Signature</p> <p>Under the penalty of perjury, I attest that all the information provided is true and accurate to the best of my ability</p> <p>Company Name: * <input type="text"/></p> <p>Name of representative: * <input type="text"/></p> <p>Title: * <input type="text"/></p> <p>Date: * 2022-04-08 <input type="text"/></p> <p>Note that companies may be subject to penalties as defined by the Companies and Limited Partnership Ordinance 32 of 2018</p>	
<p>Declaration (PDF)</p>	<p>31 Declaration</p> <p>I hereby confirm that all information in this Form is accurate and acknowledged. I understand that there are sanctions for knowingly or wilfully supplying false or misleading information.</p> <p>22(2) Companies and Limited Partnerships (Economic Substance) Ordinance</p>	<p>Electronic Signature</p> <p>Under the penalty of perjury, I attest that all the information provided is true and accurate to the best of my ability</p> <p>Company Name: * <input type="text"/></p> <p>Name of representative: * <input type="text"/></p> <p>Title: * <input type="text"/></p> <p>Date: * 2022-04-08 <input type="text"/></p> <p>Note that companies may be subject to penalties as defined by the Companies and Limited Partnership Ordinance 32 of 2018</p>	



Review (OECD)	Trans World Compliance, Inc. Questionnaire Result		2022	
	<p>Message Reference ID : TC34434TC-20220314150151 Sent By : dave@twc.com Date/Time : March 14, 2022 03:01 PM</p>			
Part I Company Information				
1a Name Acme Fund Advisors				
2 Number, street, and room or suite no. 123 Main Street				
3a City or town Rockville, MD 20850		3b State/Province/Region MD		3c Country US
Postal Code 20850				
Part II Exchange Nexus				
1a Name of Exchange Nexus Sarah Smith			3b Indicate whether Exchange Nexus is an Entity or UBO <input checked="" type="checkbox"/> UBO <input type="checkbox"/> Entity	
2a Number, street, and room or suite no. 1232			2b City or town 123	2c State/Province/Region
3a Country (including postal) TC		Postal Code	3b Date of birth 1965-12-18	3c Citizenship
4 TIN TC254342				
Part III Activities Data				
Type Income	Gross Income	Expenses Direct	Expenses Outsourcing	Employees
Banking	1	2	3	4



Review
(ES Law)

Trans World Compliance, Inc.
Questionnaire Result

2022

Message Reference ID : TC34434TC-20220314150151
Sent By : dave@twc.com
Date/Time : March 14, 2022 03:01 PM

Part I Company Information

1a Name
Acme Fund Advisors

2 Number, street, and room or suite no.
123 Main Street

3a City or town 3b State/Province/Region 3c Country Postal Code
Rockville, MD 20850 MD US 20850

Part II Exchange Nexus

1a Name of Exchange Nexus 1b Indicate whether Exchange Nexus is an Entity or UBO
Sarah Smith UBO Entity

2a Number, street, and room or suite no. 2b City or town 2c State/Province/Region
1232 123


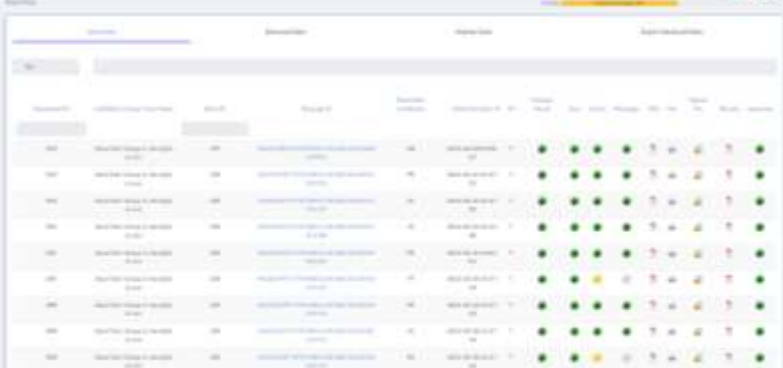
3a Country (including postal) Postal Code 3b Date of birth 3c Citizenship
TC 1965-12-18

4 TIN
TC254342

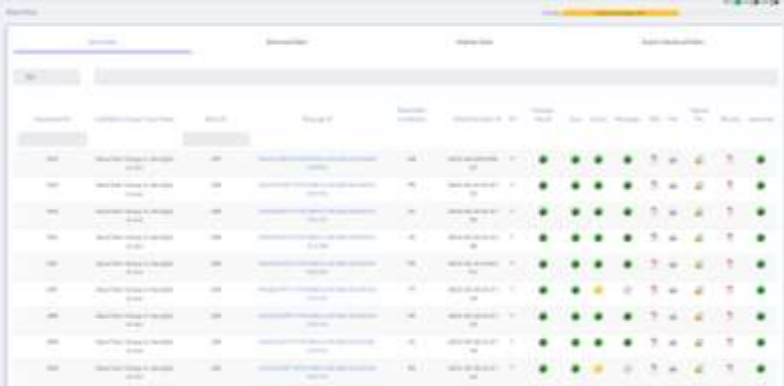
Part III Activities Data

Type Income	Gross Income	Expenses Direct	Expenses Outsourcing	Employees
Banking	1	2	3	4



<p>Review (PDF)</p>	<p>F. FORMS</p> <p>Turks & Caicos Islands Economic Substance Return</p>  <p>Exchange of Information Unit Ministry of Finance</p> <p>1 Applicant</p> <p>2 Entity Name</p> <p>3 Address</p> <p>Street Address: Street Address Line 1: City: State/Province: Postal/ Zip Code: Country:</p>	<p>Trans World Compliance, Inc. Questionnaire Result</p> <p>2022</p> <p>Message Reference ID : TC34434TC-20220314150151 Sent By : dave@twcst.com Date/Time : March 14, 2022 03:01 PM</p> <p>Part I Company Information</p> <p>1a Name Acme Fund Advisors</p> <p>2 Number, street, and room or suite no. 123 Main Street</p> <p>3a City or town 3b State/Province/Region 3c Country Postal Code Rockville, MD 20850 MD US 20850</p> <p>Part II Exchange Nexus</p> <p>1a Name of Exchange Nexus 1b Indicate whether Exchange Nexus is an Entity or UBO Sarah Smith <input checked="" type="checkbox"/> UBO <input type="checkbox"/> Entity</p> <p>2a Number, street, and room or suite no. 2b City or town 2c State/Province/Region 1232 123 </p> <p>3a Country (including postal) Postal Code 3b Date of birth 3c Citizenship TC 1965-12-18 </p> <p>4 TIN TC254342</p> <p>Part III Activities Data</p> <table border="1"> <thead> <tr> <th>Type Income</th> <th>Gross Income</th> <th>Expenses Direct</th> <th>Expenses Outsourcing</th> <th>Employees</th> </tr> </thead> <tbody> <tr> <td>Banking</td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> </tr> </tbody> </table>	Type Income	Gross Income	Expenses Direct	Expenses Outsourcing	Employees	Banking	1	2	3	4	
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Banking	1	2	3	4									
<p>Exchange (OECD)</p>	<p>User Guide for the preparation and encryption of files for transmission through the CTS v2.0</p> <p>(Revised Version – January 2020)</p>												



Exchange (ES Law)			
Exchange (PDF)		